



#3  
PATENT  
81674-280338

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
**ZHOU, Guojun**

Serial No.: 09/884,423

Filed: June 20, 2001

For: **PSYCHO-PHYSICAL STATE SENSITIVE  
VOICE DIALOGUE SYSTEM**

Art Unit: 2641

Examiner: Not yet assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington D.C. 20231, on October 15, 2001.

By:   
Eric S. Chen, Reg. No. 43,542

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated August 14, 2001, response to which is due October 15, 2001, enclosed are the following:

- A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- A "Declaration and Power of Attorney" executed by the inventor(s).
- An "Assignment" document executed by the inventor(s), along with an Assignment Recordation Cover Sheet and a check in the amount of \$40.00.
- A check in the amount of \$710.00 to cover the filing fee.

- A check in the amount of \$240.00 to cover the fee for independent claims.
- A check in the amount of \$72.00 to cover the fee for additional claims.
- A check in the amount of \$130.00 to cover the surcharge for late Declaration.

The Commissioner is hereby authorized to charge any deficiency in payment or credit any overpayment in connection with this matter to our Deposit Account No. 03-3975. A copy of this letter is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: October 15, 2001

By: 

Eric S. Chen  
Registration No. 43,542  
Attorney for Applicant(s)

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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,423	06/20/2001	Guojun Zhou	P 280338 P11804



Pillsbury Winthrop LLP  
Intellectual Property Group  
1600 Tysons Boulevard  
McLean, VA 22102

CONFIRMATION NO. 9923

## FORMALITIES LETTER



\*OC000000006420639\*

Date Mailed: 08/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$312.
  - \$72 for 4 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1152.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 KZENDIE 00000009 033375 0984423  
01 FC:101 740.00  
02 FC:105 130.00  
03 FC:102 252.00  
04 FC:103 30.00  
42.00 CH